



REPUBLIC OF THE PHILIPPINES  
NATIONAL ECONOMIC AND DEVELOPMENT AUTHORITY

# NEDA's M&E Frameworks and Systems

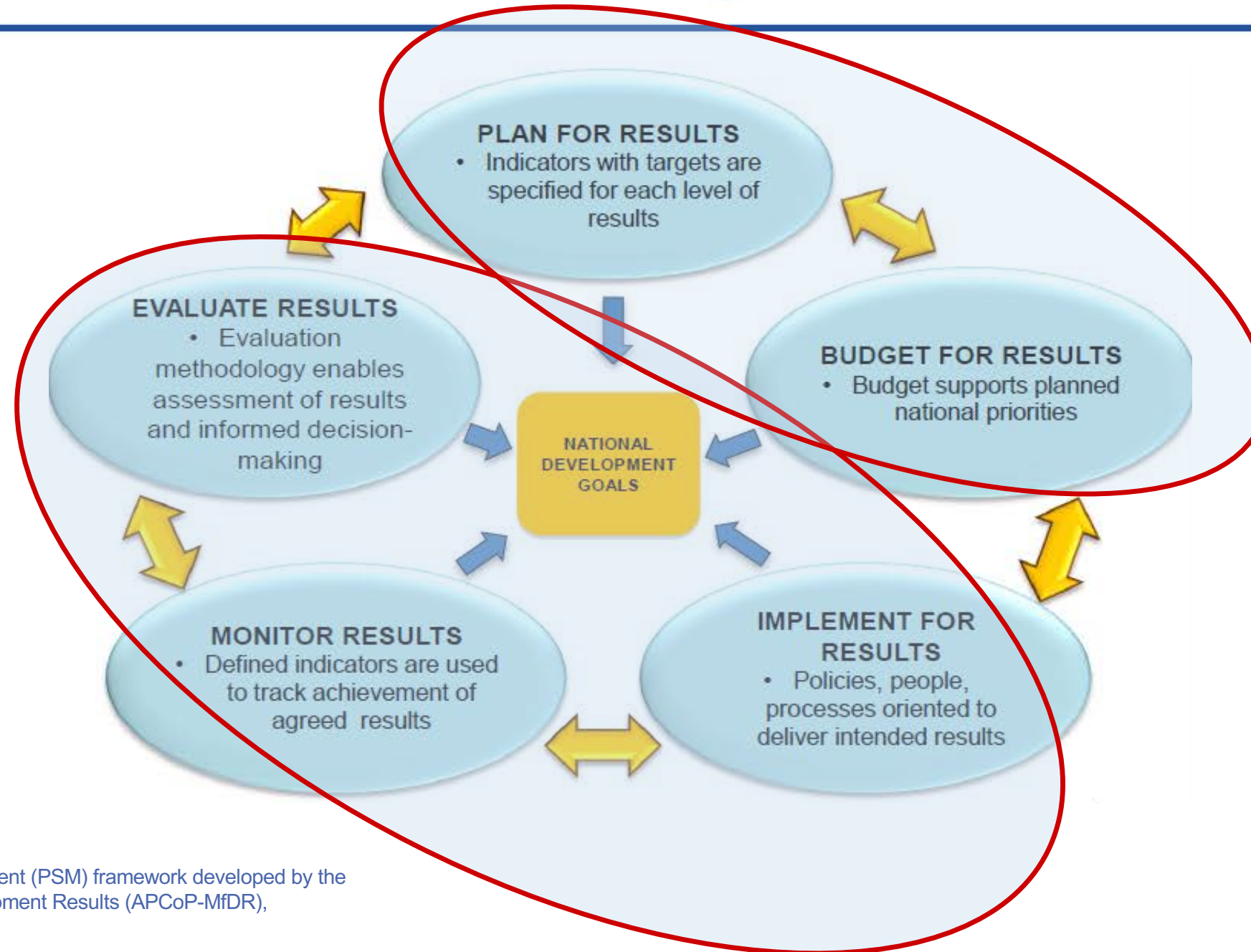
**VIOLETA S. CORPUS, CESO III**

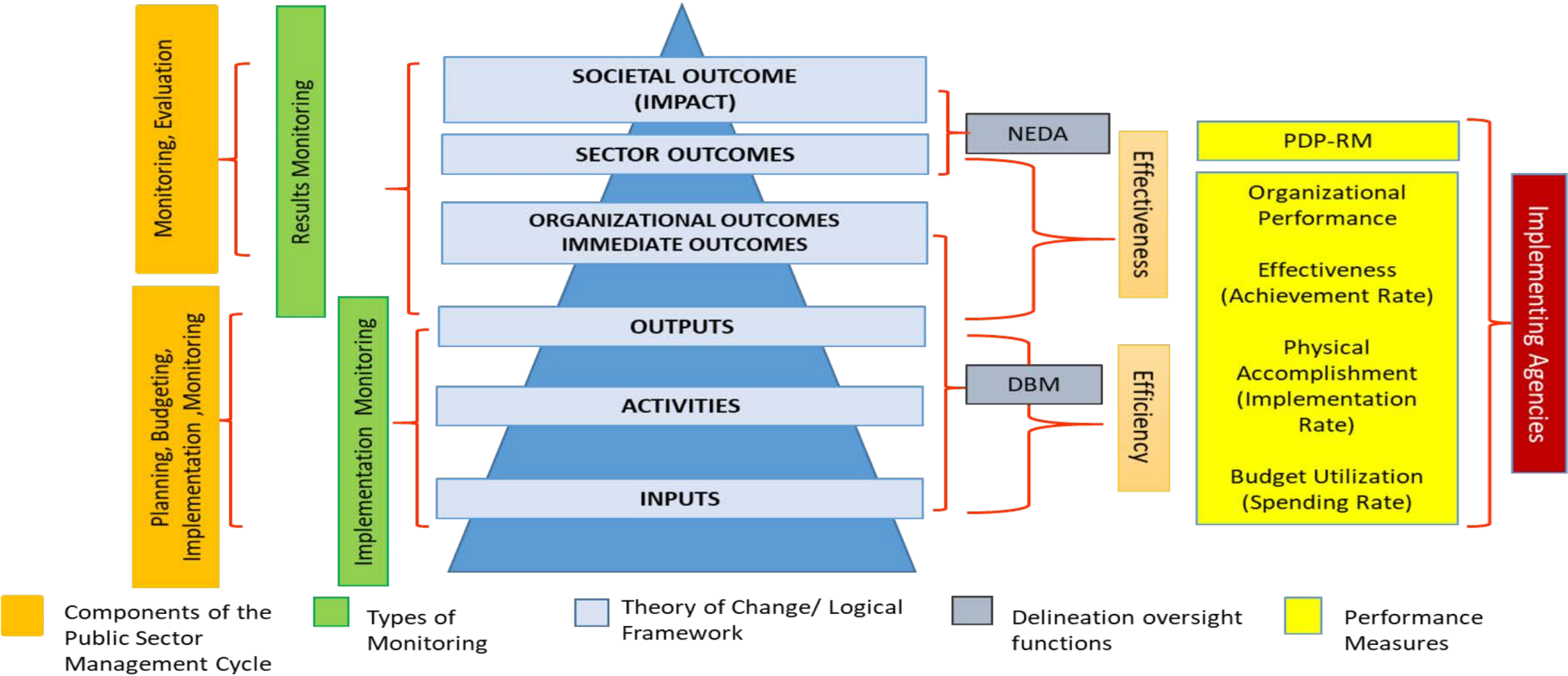
Director IV, Monitoring and Evaluation Staff  
30 June 2021 | M&E Webinar Series Session 5



## Features of Results-oriented Public Sector Management (PSM)

- Presence of core result attributes
- Focus on common results
- Interdependency among the components
- Effective vertical and horizontal linkages





Documents

- Philippine Development Plan and-Results Matrices (RMs)
  - Regional/Local Development Plans and RMs
  - NEPF and its Guidelines
- 
- Program Expenditure Classification (PREXC) c/o DBM
- 
- Project’s Logical Framework c/o Implementing Agencies /LGUS



M&E Reports

- Socio-Economic Report
  - Regional Development Report c/o NEDA
  - SONA Technical Report c/o OP-PMS
  - Evaluation Reports
- 
- Budget/ Financial Accountability Reports c/o DBM
  - Budget Execution Document c/o DBM
- 
- ODA Portfolio Review
  - RPMES Reports
  - Project-level Reports (c/o IAs, LGUs)
  - Project Monitoring and Evaluations Reports (c/o Agencies/ DPs)



## ***Monitoring***

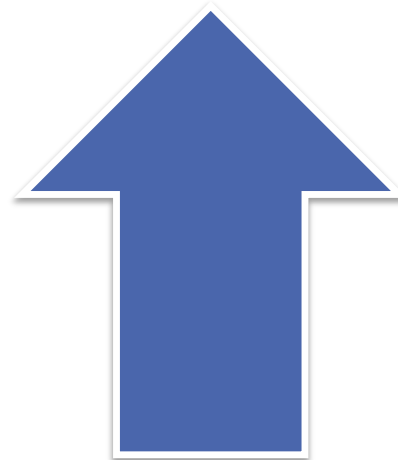
- EO 230 (NEDA)
- RA 8182 (ODA Act of 1996)
- EO 376 and 93 (RPMES)
- NEDA Rationalization Plan



## ***Evaluation***

- EO 230 (NEDA)
- EO 376 and 93 (RPMES)
- NEDA Rationalization Plan

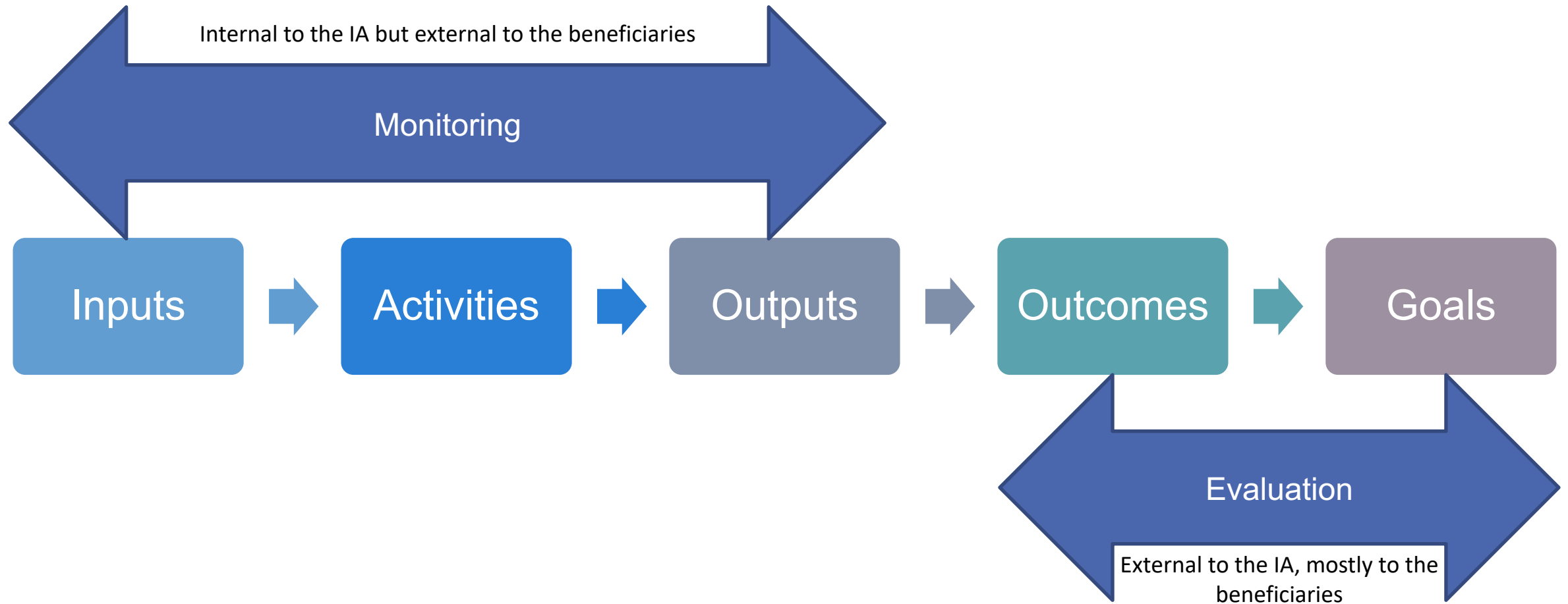
National Evaluation Policy Framework



**A continuing function that uses systematic collection of data on specified indicators to provide management and the main stakeholders of an ongoing development intervention with indications of the extent of progress and achievement of objectives & progress in the use of allocated funds.**

**Systematic and objective assessment of an on-going or completed project, programme or policy, its design, implementation and results.**

- M&E is part of the planning cycle
- Most M&E are actually **Big M** and very **little e**, if at all
- Emphasis on results - **E** getting bigger
- New M&E - adds structured experiential learning into M&E



Scope of **MONITORING** includes those indicators committed in the RM, programs and projects supported through ODA and local funds, and the current Administration's priority programs and initiatives.

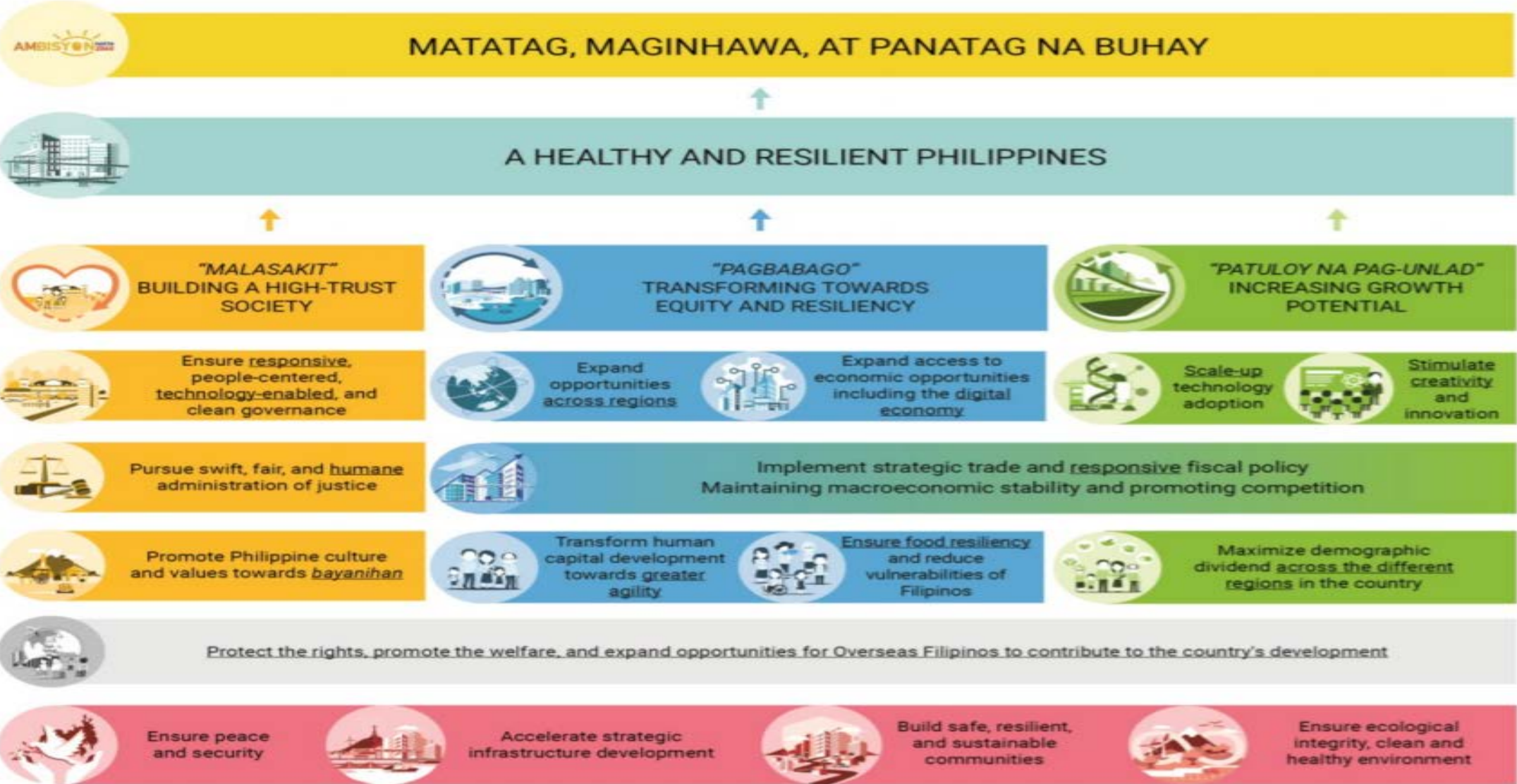
“All projects/programs...are **EVALUATED** at least once at the end of their program/project life cycle or as frequently as necessary.”

-NEPF Section 5.1



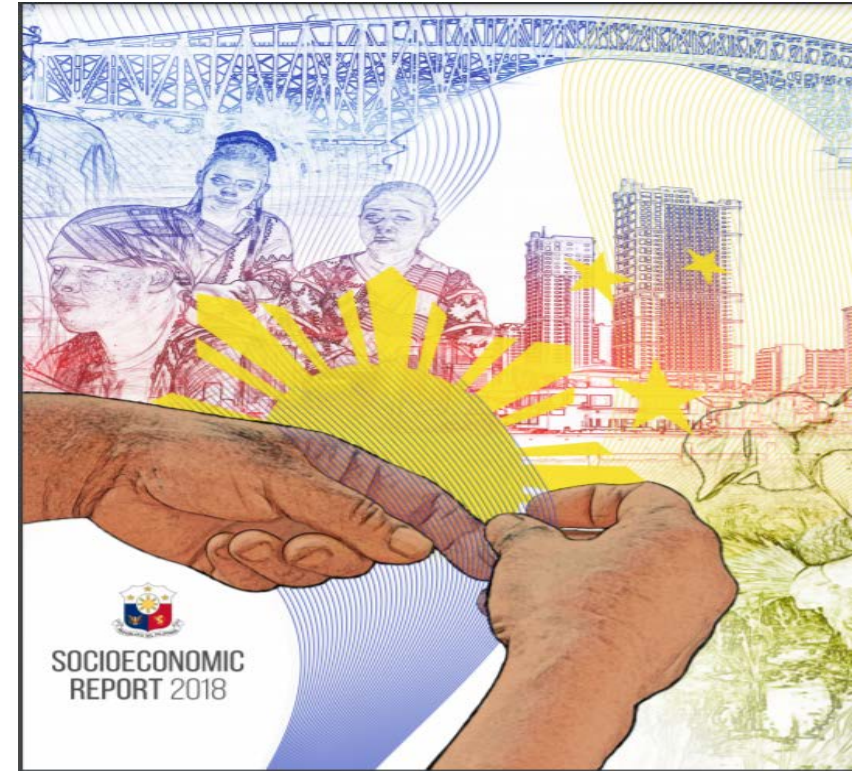
Activity	Description
Formulation/ Updating of the Results Matrices of the Philippine Development Plan	Coordinates the formulation and updating of the PDP-RM, which served as a monitoring and performance assessment tool of the plan
Annual ODA Portfolio Review	Yearly assessment of the performance of all active ODA programs and projects, with the end view of submitting a report to Congress by June 30 of each year
Conduct of PIO and facilitation meetings	Regular meeting of designated PIOs of all implementing agencies to discuss on agencies' ODA portfolio performance and other related developments.
Project Re-evaluation	Re-evaluation of projects with requests for implementation period/ loan validity and supplemental funding
Supervision/ Implementation Support Missions/Field Visits	On-site validation activities being conducted with Development Partners and Implementing Agencies as necessary
Conduct of evaluation studies	Evaluation of programs and projects. Assessment of the changes (higher level goal) attributed to a particular intervention.
M&E Network Forums/Webinar series (2021)	Yearly forum that serves as a platform to development practitioners where they can engage in dialogues on strengthening monitoring and evaluation practice and capacity in the Philippines/migrated to 2021 monthly webinar series.

Activity	Description
NPMC meetings	Regular meetings of members (NEDA, OP, DBM, and DILG) to discuss issues/concerns on project implementation and other related developments of issues raised by regional offices
Loans Performance Assessment	Monitoring of quarterly financial absorptive capacity performance of the GPH ODA loans portfolio data on indicators such as disbursement rate/utilization rate
Grants Performance Assessment	Monitoring the status of grants and technical assistance to the Philippines as reported by the development partners
Projects Likely to be Restructured Assessment	Reports on projects incurring restructuring – through cost-overruns, extensions, changes in scope, re-allocations of loans/grants , in compliance with section 5.4 of the IRR of the ODA Act
Alert Mechanism	Quarterly assessment of project performance which can flag projects requiring priority monitoring and implementation. Classifies projects into <b>Potential</b> and <b>Actual</b> problem projects.
Joint Portfolio Review/Joint Consultations	Annual assessment of performance of projects per development partner (WB/CPPR, ADB/CPRM, JICA/PIR and Post-evaluation)



The SER signals how the government was able to successfully kick off the implementation of its reform agenda and bring about the needed changes in some of its priority areas.

Reflects the accomplishments of the commitments/targets stated in the PDP and its corresponding RMs. Reports on factors that led to the variations in performance such as policies effected and other interventions.

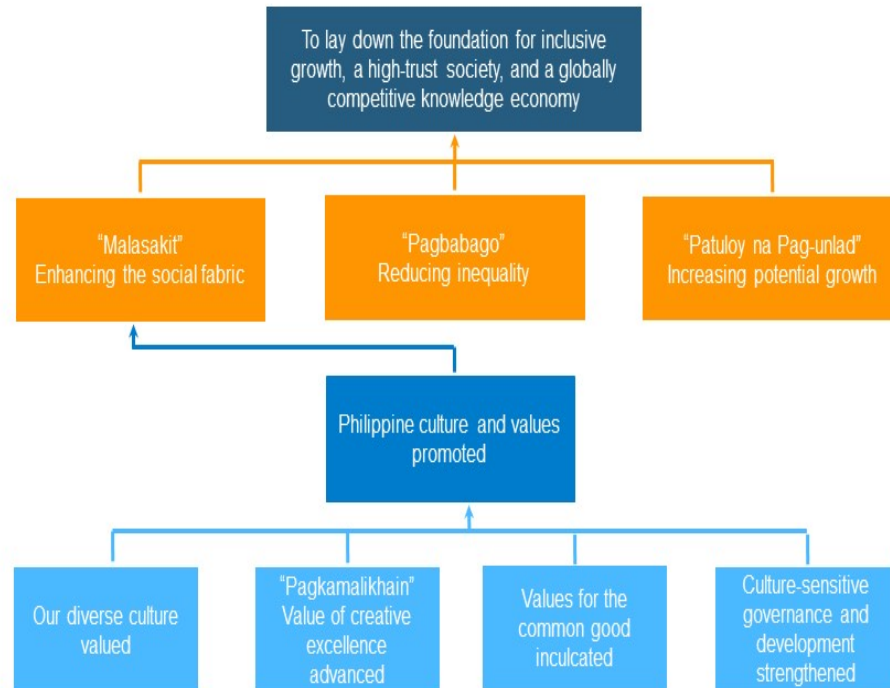






NATIONAL ECONOMIC AND  
DEVELOPMENT AUTHORITY

## PHILIPPINE DEVELOPMENT PLAN RESULTS MATRICES CHAPTER 7: PROMOTE PHILIPPINE CULTURE AND VALUES



Objectives/ Results	16-Point Agenda	Indicators/Unit	Baseline Year	Value	End-of-Plan Target	IAS/OAs
Societal Goal: Inclusive growth and poverty reduction						
Sector Outcomes						
4a. Food security improved	Agenda 7	Food subsistence incidence decreased (in percent of population)	2009	10.8	8.3	NSCB/ NEDA
		Rice self-sufficiency ratio increased (in percent)	2010	80.0	100.0	DA Rice Program/ BAS
		Stable average inflation rates among basic food commodities achieved (in percent)	2004-2010	6.4	3.0 - 5.0	NSO/ BSP/ NEDA
4b. Incomes in agriculture and fishery sector increased		Average income of families in the agriculture and fishery sector increased (PHP in constant 2000 prices)	2009	17,582	19,412 to 19,793	NSCB/ NSO/ NEDA
4c. Sector resilience to climate change risks increased	Agenda 16	Annual agriculture and fishery production loss due to weather and climate-related disasters reduced on the average (in PHP billion)	2004-2010	13.8	Average annual production loss decreased for 2011-2016	DA/ NDRRMC
4d. Growth in agriculture and fishery sector increased	Agenda 2	Agriculture and fishery gross value added (GVA) increased (in PHP million at 1985 constant prices)	2010	257,214	331,132 to 334,306	DA/ BAS/ NSCB
		• Crops	2010	135,610	180,694 to 182,417	
		• Livestock	2010	29,560	32,514 to 32,834	
		• Poultry	2010	27,728	35,492 to 35,832	
		• Fisheries	2010	64,316	83,756 to 84,558	
		Value of agricultural exports increased (in US \$ million)	2004-2010	3,181	5,484 to 5,534	BAS/ WTO/ EDC
Intermediate Outcomes						
Productivity and production increased	Agenda 7	Yield of major commodities increased (in metric ton per hectare):				
		• Palay	2010	3.62	4.89	BAS/DA Rice Program

# The PDP 2017-2022 Results Matrices



## PHILIPPINE DEVELOPMENT PLAN 2017-2022 RESULTS MATRICES MIDTERM UPDATE CHAPTER 19: ACCELERATING INFRASTRUCTURE DEVELOPMENT

Objectives/ Results	SDG	Indicator	Baseline <sup>a</sup>		Annual Plan Targets						End-of-Plan Target <sup>b</sup>	Means of Verification	Responsible Agency <sup>c</sup>	Reporting Entity <sup>d</sup>	Assumptions and Risk	
			Year	Value	2017	2018	2019	2020	2021	2022						
Societal Goal																
To lay down the foundation for inclusive growth, a high-trust society and a globally competitive knowledge economy created.																
Intermediate Goal 1																
Reducing inequality.																
Chapter Outcome 1																
Access to economic opportunities increased.																
Sub-chapter Outcome 1.1																
Competitiveness and productivity of economic sectors increased.		Public infrastructure spending increased (% share to GDP)	2016	4.1*	4.4*	5.1*	5.2	5.3	6.1	6.3	6.3	Actual Spending	All Concerned Implementing Agencies (IAs)	DBM	Based on the 2019 Budget of Expenditure and Sources of Financing (BESF) *Actual disbursement	
	Power/Energy															
	7.3.1 Energy intensity measured in terms of primary energy and GDP	Power requirements <sup>a</sup> met (% available capacity over peak demand)	2016	115	146	141	133	148	147	139	139	DOE Power Development Plan 2016-2040  2016 Philippine Power Situation Report				
		Luzon	2016	115	141	135	125	143	144	137	137					
		Visayas	2016	149	151	145	141	150	146	137	137					
		Mindanao	2016	162	172	173	173	172	161	150	150					
		Energy intensity (primary energy) reduced (tons of oil equivalent per million peso)	2016	6.71	6.37	6.43	6.27	6.05	5.83	5.59	5.59	Annual Accomplishment Report	DOE	DOE	2017-2018 actual data as of 6 May 2019 ; the 2019 to 2022 data are from the Energy Outlook 2017-2040	

\*Actual data as of December 2015, or most recent available data. May not necessarily be year-end values.

<sup>b</sup>May either be the cumulative or incremental target value at the end of the Plan period.

<sup>c</sup>Agency accountable for delivering the outputs/achievement of outcomes.

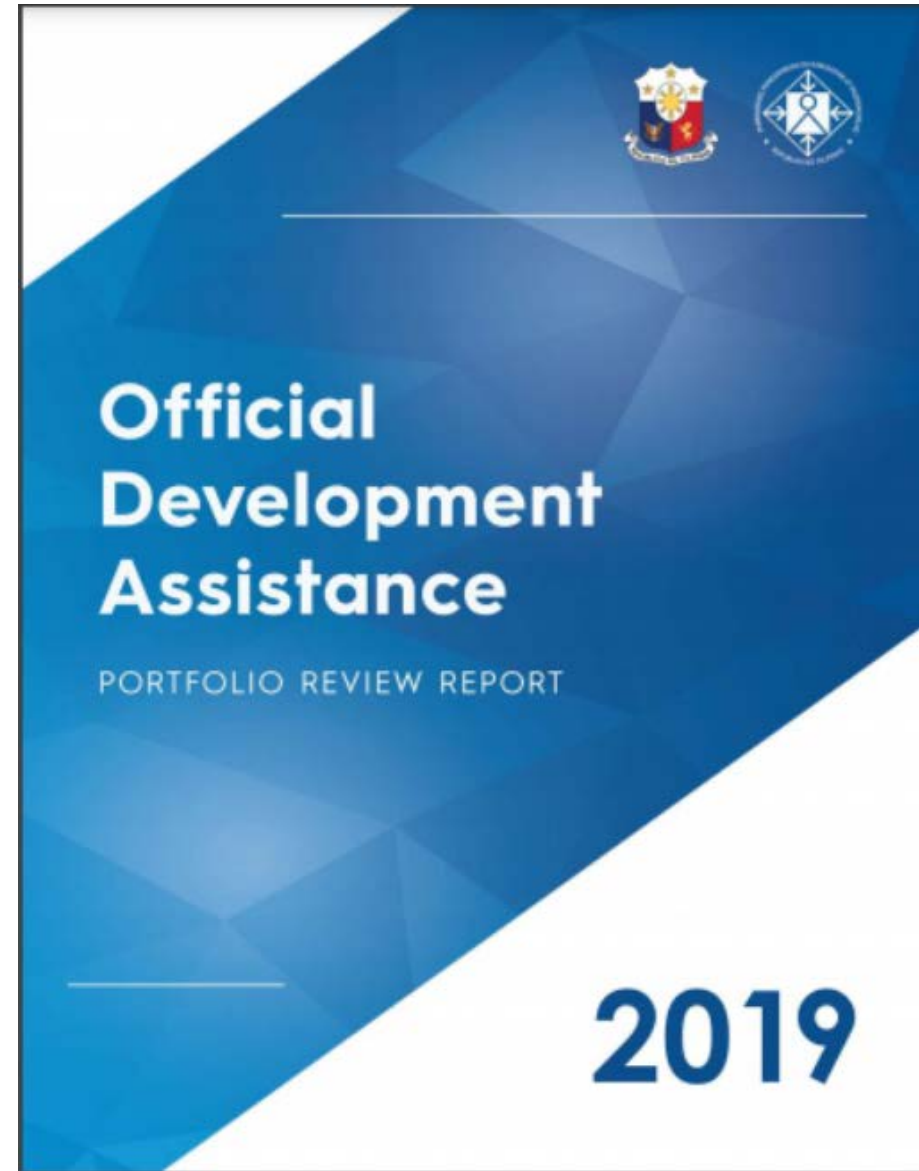
<sup>d</sup>Lead agency responsible for reporting progress on indicator targets.

\*Power requirements including 25% reserves. The ratio must always be maintained above 100%

INDICATOR	BASELINE VALUE (YEAR)	PLAN TARGETS						ACCOMPLISHMENTS	
		2017	2018	2019	2020	2021	2022	2017	2018
GDP (growth rates) sustained	6.9r (2016)	6.5- 7.5	7.0- 8.0	7.0- 8.0	7.0- 8.0	7.0- 8.0	7.0- 8.0	6.7	6.2
GNI per capita (growth rates) increased	4.1 (2015)	4.5	5.0	5.0	5.2	5.2	5.2	4.9	4.1
Poverty Incidence (% of Pop'n.) reduced	21.6 (2015)		17.3-19.3		15.2-17.2		13-15	N/A	N/A
Rural Poverty Incidence (%) reduced	29.8 (2015)		25.6		22.8		20.0	N/A	N/A
Subsistence Incidence (%) reduced	8.1 (2015)		6.8		5.9		5.0	N/A	N/A
Food Inflation (%) kept stable	1.7a (2016)	2 - 4	2 - 4	2 - 4	2 - 4	2 - 4	2 - 4	3.2a	6.6
Human Dev't. Index (HDI) improved	0.693r (2015)	Increasing	Increasing	Increasing	Increasing	Increasing	>0.7	0.699	N/A
Unemployment Rate (%) decreased	5.4r (2016)	5.1-5.4	4.7-5.3	4.3-5.3	3.8-5.2	3.4-5.1	3-5	5.7	5.3
Employment Generated increased	n.a. (2016)	900,000-1.1 Mn	900,000-1.1 Mn	900,000-1.1 Mn	900,000-1.1 Mn	900,000-1.1 Mn	900,000-1.1 Mn	-663	826
Youth Unemployment Rate (%) decreased	11.5 (Oct 2016)	11.0	10.4	9.8	9.2	8.6	8.0	11.9	13.4
Underemployment Rate in areas outside NCR (%) decreased	19.7 (2016)	18.3-20.3	17.8-19.8	17.4-19.4	16.9-18.9	16.5-18.5	16-18	17.1	17.7
Global Innovation Index (rank) improved	74 out of 128 economies (2016)	increasing	increasing	increasing	increasing	increasing	top one-third	73 out of 127 economies	73 out of 126 economies

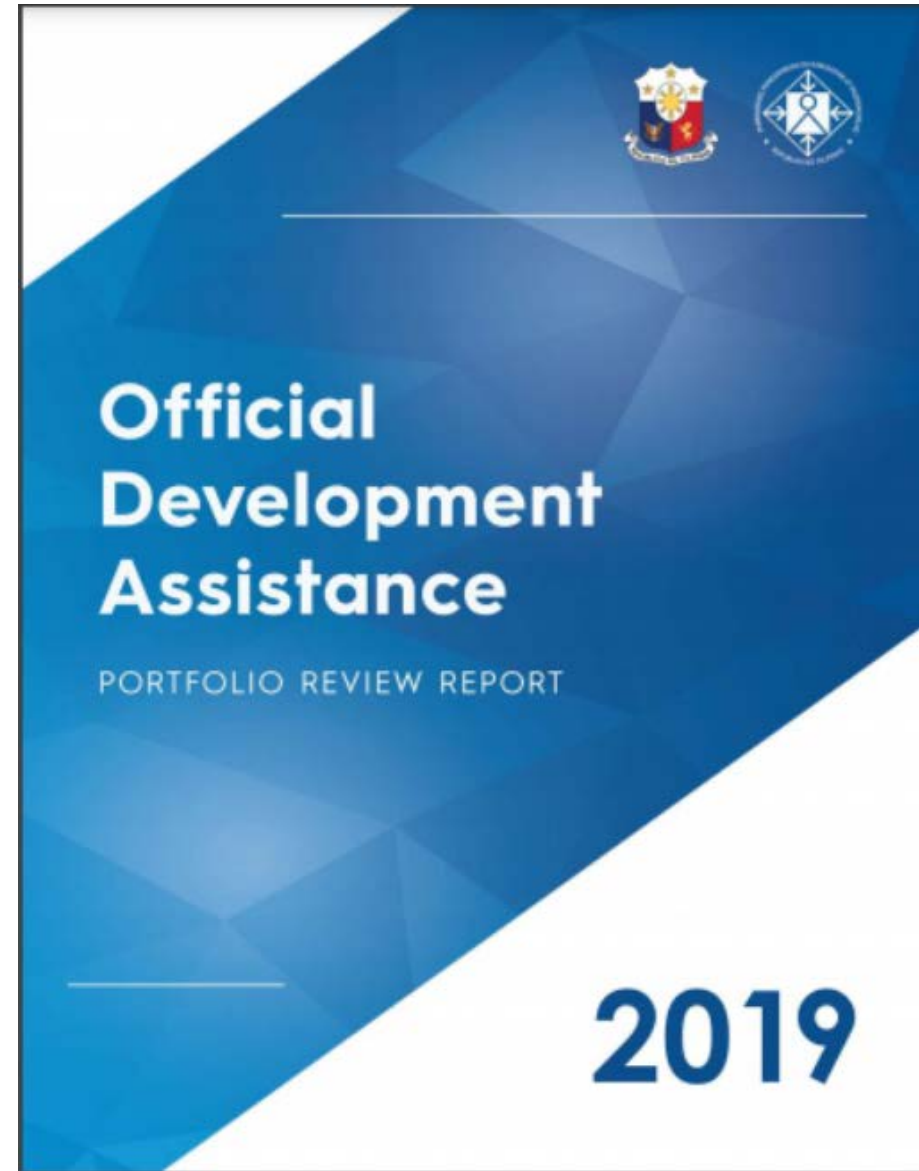


**Republic Act (RA) No. 8182**, also known as the **ODA Act of 1996**, as amended by RA 8555, mandated NEDA to conduct an annual review of the status of all projects financed by ODA and identify causes of implementation and completion delays or reasons for bottlenecks, cost overruns (actual and prospective), and continued project or program viability.

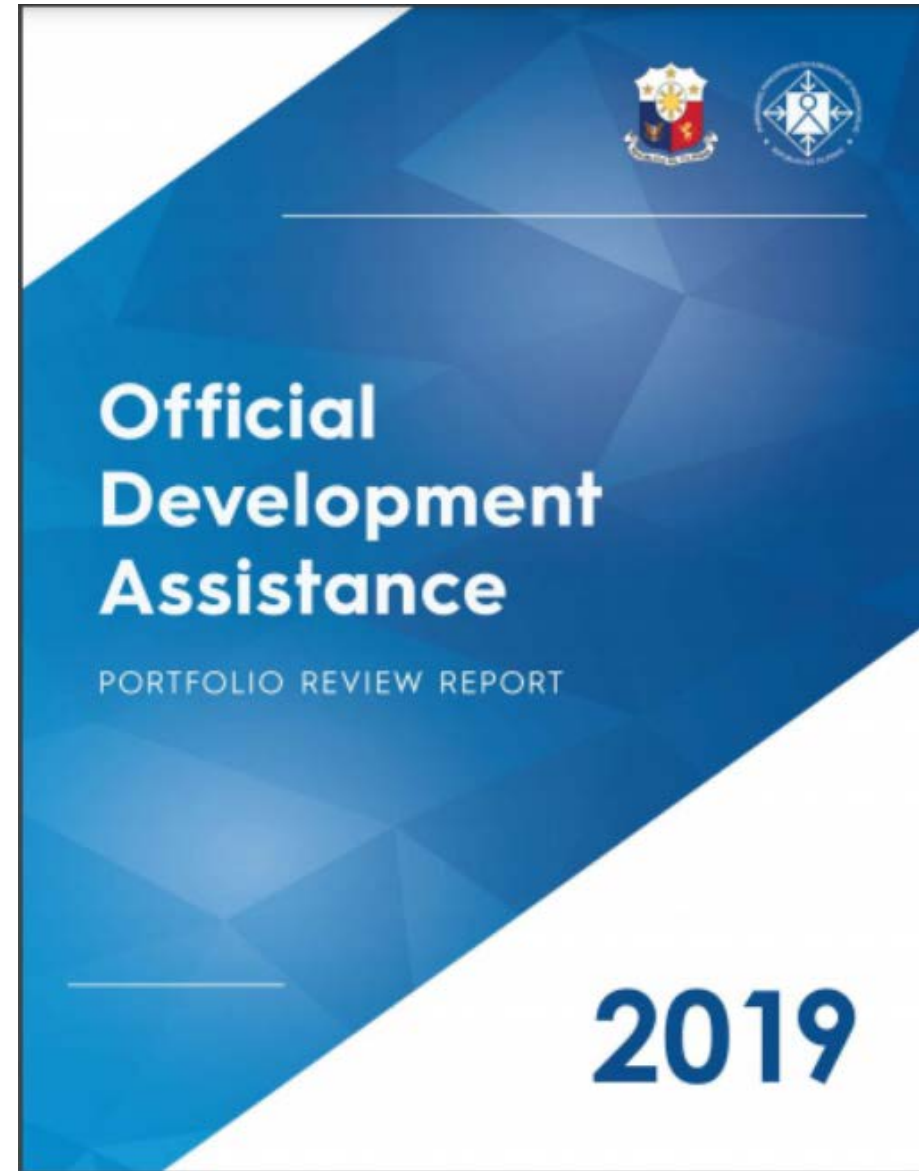




The ODA portfolio review aims to: (a) report on the status of all projects financed through ODA, including their budgetary requirements; (b) identify key implementation issues, actual or prospective causes (e.g., procurement delays, cost overrun), and cross-cutting concerns hampering project implementation; (c) report on actions taken by concerned agencies to facilitate project implementation; (d) report on projects requiring restructuring; (e) report results (outputs and outcomes) derived from implementing ODA programs and projects; and (f) provide recommendations to improve portfolio performance.



Executive Summary
The ODA Portfolio Review
The ODA Portfolio
Financial Performance
Physical Performance
Results
Key Implementation Issues
Assessment of Continued Viability of ODA Programs and Projects
Lessons Learned and Recommendations
Review of ODA Grants in the Philippines



	For NGAs and GOCCs
<b>CY 2020 AGENCY PORTFOLIO REVIEW</b>	
Table of Contents	
Agency Name	
As of December 31, 2020	
Table 1	Agency Organizational Structure in the Implementation of ODA Projects (with Organizational Chart and Assigned Officials)
Table 2	Regional Disaggregation of Projects and Programs
Table 3A	Financial Status of Projects and Programs
Table 3B	Budget Forecast
Table 3C	Loan Disbursement and Targets (For Loan-Assisted Projects)
Table 4A	Summary of Physical Targets and Actual Accomplishments of Projects and Programs
Table 4B	Components and Physical Outputs of Projects and Programs
Table 4C	Projects/Programs with Incomplete Output(s) or Sustainability Issue(s), and Damaged
Table 5A	Problem Analysis of Projects and Programs (Non Covid 19-Related)
Table 5B	Problem Analysis of Projects and Programs (Covid 19-Related)
Table 5C	Actions Taken on Recommendations Made during the CY 2019 ODA Review
Table 6	Project/Program Results
Table 7	Lessons Learned Register for Projects and Programs
Table 8	Project/Program Component(s) Addressing Climate Change and Contributing to Disaster Risk Reduction
Table 9	Classification of ODA Projects by Gender-Responsiveness
Photo Submission	





CY 2020 AGENCY PORTFOLIO REVIEW

Table 4A

### Summary of Physical Targets and Actual Accomplishments of Projects and Programs

Agency Name

As of December 31, 2020

[illegible]

## CY 2020 AGENCY PORTFOLIO REVIEW

Table 5B

Problem Analysis of Projects and Programs (Covid 19-Related)

Agency Name

As of December 31, 2020

Project/ Program	Typology	Issue Details <sup>1</sup>	Component/s and contract/s of the project affected	Issue Status (Current or Resolved) <sup>2</sup>	Actions Taken in CY 2020	Actions Being Taken/ to be Taken in CY 2021 and Beyond <sup>3</sup>
Projects	(e.g., )					
Project 1	> Issues on securing visas for foreign nationals engaged with the project	> No. of personnel affected > No. already deployed > Remaining nationals for deployment			(e.g., institutionalization of health and safety protocols in all project components)	
	> Issues on deployment of local staff due to travel restrictions	> No. of personnel affected > No. already deployed > Areas with travel restrictions				
	> Issues in material supply and/or increase in cost of materials	> Specify the materials > Amount/Percentage increase in cost				
	> Issues on contract implementation delays	> Contract affected > Duration of contract suspension (start date and resumption date)				
	> Issues on procurement delays	> Delays in procurement in months				
	> Issues on securing permits/clearance/approvals of government/development partners	> Specify which permits/clearance/approval and the issuing authority > Duration of delays in months				
	> Issues on budget realignment due to Covid 19	> Amount realigned/reverted > Project/activities				

For NGAs and GOCCs

## CY 2020 AGENCY PORTFOLIO REVIEW

Table 6  
Project/Program Results  
Agency Name  
As of December 31, 2020

Project/Program Title : \_\_\_\_\_

Contributes to (please check all applicable)

SDG : ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10 ☐ 11 ☐ 12 ☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

SDG Indicators<sup>1</sup> : (Enumerate SDG indicator code/number i.e. 1.4.1p2 and 1.4.1p8 )

Objectives (Based on Logframe)	Results Indicator/Target (Based on Logframe)	Actual (Using Proxy or Logframe Indicators)	Alignment to PDP-RM 2017-2022 Results Indicators <sup>2</sup>
<b>Goal:</b> Objective statement (goal)	Indicator Target 1 (goal) Indicator Target 2 (goal) ↓ Indicator Target N (goal)	Actual Value for Indicator Target 1 (goal) as of (date) Actual Value for Indicator Target 2 (goal) as of (date) ↓ Actual Value for Indicator Target N (goal) as of (date)	<b>Goal</b>
<b>Outcome:</b> Objective statement (outcome)	Indicator Target 1 (purpose) Indicator Target 2 (purpose) ↓ Indicator Target N (purpose)	Actual Value for Indicator Target 1 (purpose) as of (date) Actual Value for Indicator Target 2 (purpose) as of (date) ↓ Actual Value for Indicator Target N (purpose) as of (date)	<b>Outcome</b>
<b>Outputs:</b>	End-of-Project Indicator Target 1 (output) End-of-Project Indicator Target 2 (output) ↓ End-of-Project Indicator Target N (output)	Actual Value for EOP Indicator Target 1 (output) as of (date) Actual Value for EOP Indicator Target 2 (output) as of (date) ↓ Actual Value for EOP Indicator Target N (output) as of (date)	<b>Output</b>

<sup>1</sup> Refer to the attached (6.1) SDG Indicators for the codes

<sup>2</sup> May be downloaded using this link: <http://www.neda.gov.ph/wp-content/uploads/2019/05/NEDA-PDPMR-FINAL.pdf>

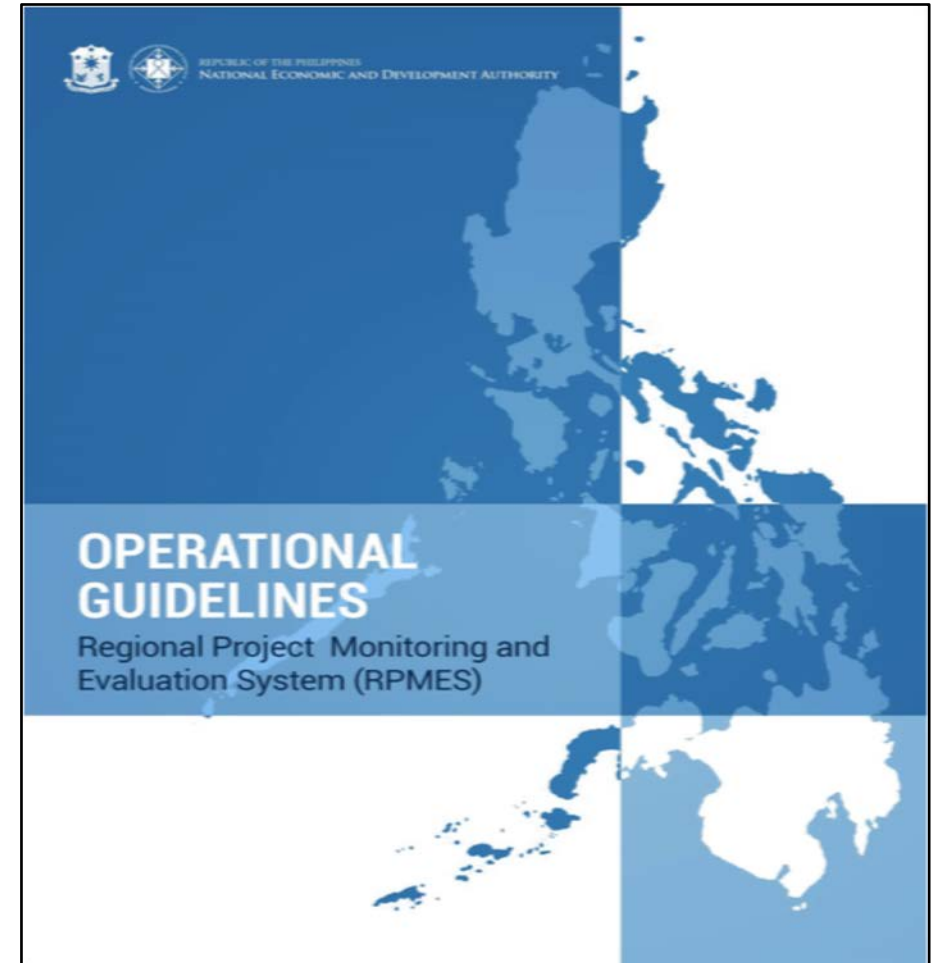
Prepared by: \_\_\_\_\_  
(Signature over printed name)

Date: \_\_\_\_\_

Approved by: \_\_\_\_\_  
(Signature over printed name)

Date: \_\_\_\_\_

The RPMES aims to facilitate program and project implementation, and devolve project facilitation, problem-solving, monitoring, and evaluation to the regional, provincial, city and municipal levels







RPMS FORM 2

REGIONAL PROJECT MONITORING AND EVALUATION SYSTEM (RPMS)  
PHYSICAL AND FINANCIAL ACCOMPLISHMENT REPORT  
As of Month Year

Implementing Agency: \_\_\_\_\_

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)		(16)
(a) Name of Project (b) Date Started (c) Target Completion Date (d) Location (e) Funding Source	Financial Status (in PhP M)								Physical Status (%)					Employment Generated		Remarks
	Allocation		Releases		Obligations		Disbursements		Output Indicator	Target to Date	Target for the Month	Actual to Date	Actual for the Month			
	As of Reporting Period	For the Month	As of Reporting Period	For the Month	As of Reporting Period	For the Month	As of Reporting Period	For the Month								
	(M)	(F)														
1. Project Title Month/Day/Year Month/Day/Year Region/Province/City/Municipality																
2. Project Title Month/Day/Year Month/Day/Year Region/Province/City/Municipality																
3. Project Title Month/Day/Year Month/Day/Year Region/Province/City/Municipality																

Submitted by: \_\_\_\_\_  
Designation/Office: \_\_\_\_\_  
Date: \_\_\_\_\_

Noted by: \_\_\_\_\_  
Agency Head

Note: This form was enhanced based on the existing RPMS form and Annual ODA Portfolio Review form. Adopted in 2015



NPMC FORM 1

REGIONAL PROJECT MONITORING AND EVALUATION SYSTEM  
ISSUES ELEVATED TO THE NPMC  
As of \_\_\_\_\_

[illegible]

Submitted by:

Noted by:

Date:

Date:

Note: Note: This is a new form based on the content of RPMES Accomplishment Reports. Adopted in 2015



# Guidelines on Evaluation in the National Government

## INTRODUCTION

- 1.1 What is an evaluation?
- 1.2 Why evaluate?
- 1.3 Evaluation in the context of government's drive towards results
- 1.4 Evaluation, development planning, and investment programming
- 1.5 Evaluation and the budget cycle
- 1.6 Evaluation principles, norms, and standards
- 1.7 Applicability of guidelines
- 1.8 Overview of the evaluation process

## INSTITUTIONAL RESPONSIBILITIES

- 2.1 Implementing Agencies
- 2.2 Evaluation Task Force and Secretariat

## INITIATING AN EVALUATION

- 3.1 Developing an agency evaluation agenda
- 3.2 Developing an evaluation plan
- 3.3 Assessing evaluability
- 3.4 Evaluations vs. internal assessments
- 3.5 Stakeholder engagement

## PREPARING AN EVALUATION

- 4.1 Program objectives and intended outcomes
- 4.2 Evaluation objectives
- 4.3 Evaluation methods
- 4.4 Risk identification and assessment
- 4.5 Financial and human resource requirements

## IMPLEMENTING EVALUATIONS

- 5.1 Evaluation governance arrangements
- 5.2 Managing risks
- 5.3 Ethical behavior
- 5.4 Evaluation reporting

## UTILIZING EVALUATIONS

- 6.1 Management response
- 6.2 Communication and dissemination
- 6.3 Planning for the next evaluation

## QUALITY ASSURANCE

- TOR
- Inception Report
- Evaluation Structure, Methodology, and Data Sources
- Findings, Conclusions and Recommendations

# Where We Are Now and Where We Are Headed



NATIONAL ECONOMIC AND DEVELOPMENT AUTHORITY

	2018-19	2020	2021	2022	2023
	DEMONSTRATION	PRACTICE	DEEPENING		SUSTAINABILITY
<b>Strategic Evaluations and other studies</b>	3 evaluations completed + 3 more commissioned  Initial pipeline of evaluations	12/12 evaluations / other studies	2021-2022 National Evaluation Agenda drafted and approved	2021-2022 National Evaluation Agenda implemented	2023-2028 PDP shaped by evaluations & released with an evaluation agenda
<b>Enabling Environment</b>	NEPF Guidelines completed  Evaluation portal Launched	Way forward for NEDA evaluation function established	Agencies adopt and implement the NEPF Guidelines  Evaluation portal Utilized	Monitoring of the implementation of the NEPF Guidelines  Evaluation portal Enhanced	Tier 2 budget proposals & ICC project decisions backed by evaluations or have evaluation designs
<b>Agency Capacity</b>	NEDA and key agencies learn by doing evaluation assigned tasks	NEPF Guidelines Pilot-released with coaching for 8 agencies  Evaluability assessment of 8 agencies' programs	Key agencies propose & roll-out evaluations on priority programs  Agencies use evaluations to improve programs  PPMS developed and functional	NEDA organized with evaluation group  Pilot agencies conduct their own evaluations  PPMS functionalities evolved for improved utilization in planning & decision making	Agency M&E units are activated  PPMS integrated within NEDA and other data systems
<b>Individual Capacity</b>	Awareness and learning through the M&E Network Forum	Competency Framework & Evaluation Training	Capacity-enhancement through online learning, seminar, module, & training	Pilot agency participants apply learning in planning their own evaluations	New cohort of agency participants undertake capacity development



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**VIOLETA S. CORPUS, CESO III**

Director IV, Monitoring and Evaluation Staff  
30 June 2021 | M&E Webinar Series Session 5

